

08/24/01

11003 U.S. PTO

Please type a sign (+) inside this box \pm PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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11003 U.S. PTO

09/935767

08/24/01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. PF454P2

First Inventor

Gentz et al.

Title

Tumor Necrosis Factor Receptors 6a and B β

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 1.
- ☒
- Fee Transmittal Form (e.g., PTO/SB/17)

(Submit an original, and a duplicate for fee processing)

- 2.
- ☐
- Applicant claims small entity status.

See 37 CFR 1.27.

- 3.
- ☒
- Specification [Total Pages
- 289
-]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- 4.
- ☒
- Drawing(s) (35 U.S.C. 113) [Total Sheets
- 23
-]

- 5.
- ☒
- Unexecuted Declaration [Total Pages
- 2
-]

- a.
- ☐
- Newly executed (original or copy)

- b.
- ☐
- Copy from a prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed)

- i.
- ☐
- DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

- 6.
- ☒
- Application Data Sheet. See 37 CFR 1.76.

- 7.
- ☐
- CD-ROM or CD-R in duplicate, large table or Computer

Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

- a.
- ☒
- Computer Readable Form (CRF)

- b. Specification Sequence Listing on:

- i.
- ☐
- CD-ROM or CD-R (2 copies); or

- ii.
- ☒
- paper

- c.
- ☒
- Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9.
- ☐
- Assignment Papers (cover sheet and document(s))

- 10.
- ☐
- 37 CFR 3.73(b) Statement
- ☐
- Power of Attorney

- 11.
- ☐
- English Translation Document (if applicable)

- 12.
- ☐
- Information Disclosure Statement/ Form PTO/SB/08

- ☐
- Copies of Citations

- 13.
- ☐
- Preliminary Amendment

- 14.
- ☒
- Return Receipt Postcard (MPEP 503)

(should be specifically itemized)

- 15.
- ☐
- Certified copy of Priority Document(s)

(if foreign priority is claimed)

- 16.
- ☐
- Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation☐ Divisional☒ Continuation-in-Part (CIP)of prior application No: 09/518,931, filed March 3, 2000.

Prior application information:

Examiner _____

Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label22195or ☐ Correspondence address below

NAME

ADDRESS

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

FAX

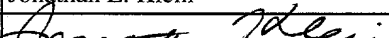
NAME (Print/Type)

Jonathan L. Klein

Registration No. (Attorney/Agent)

41,119

SIGNATURE

Date AUG 24, 2001

† Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, DC 20231.

08/24/01



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 PTO/SB/17 (11-00)
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 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	Unassigned
Filing Date	Herewith (August 24, 2001)
First Named Inventor	Gentz et al.
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket Number	PF454P2

Total amount of payment

\$1534.00**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **08-3425**Deposit Account Name **Human Genome Sciences, Inc.**

- ☒ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17
- ☐ Applicant claims small entity status
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other*
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	710	355	106	160	Utility filing fee	710.00
107	207	490	245	108	355	Design filing fee	
108	208	710	355	114	75	Plant filing fee	
						Reissue filing fee	
						Provisional filing fee	

SUBTOTAL (1)

\$710.00**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
48	28	\$18.00	504.00
7	4	\$80.00	320.00
		\$270.00	

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	203	18	9	102	40	Claims in excess of 20
104	204	270	135	108	40	Independent claims in excess of 3
108	209	80	40	110	9	Multiple dependent claim, if not paid
						** Reissue independent claims over original patent
						** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$824.00

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive -unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	481	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
				Other fee (specify):	
				Other fee (specify):	
				Other fee (specify):	
				* Reduced by Basic Filing Fee Paid	
				SUBTOTAL (3)	\$0.00

Submitted By

Complete (if applicable)

Name (Print/Type) Jonathan L. Klein	Registration No.: 41,119	Telephone	301-251-6015
Signature: <i>Jonathan Klein</i>		Date: August 24, 2001	

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